

Reply to Pre-bid queries for RFP Ref. No. UCO/INSP/ISSA/ 1 /2015-16 Dated 02/04/2015 (REQUEST FOR PROPOSAL (RFP) FOR SELECTION OF INFORMATION SYSTEMS SECURITY AUDITOR)

Sr. No	RFP section	Section	Description in RFP	Prebid Queries	Bank's Response
1	Page No 7	1.3 ELIGIBILITY CRITERIA	1.3.3 The Bidder must have a turnover of at least Rs. 2 crore in the last three financial years (2011-12, 2012-13, 2013-14).	We request to modify this clause to as follows " The Bidder must have a turnover of at least Rs. 200 crore in the last three financial years (2011-12, 2012-13, 2013-14)"	No changes in RFP
2	Page No 7	1.3 ELIGIBILITY CRITERIA	The bidder should have Banks/ Financial Institutions as their clients for IS Audit. The bidder must have completed similar comprehensive System Audit, in last two financial years, for at least One (01) Public Sector Bank in India. (Documentary proofs must be provided as per format given in Annexure-8 along with copies of Work Order etc.).	We request to modify this clause to as follows " The bidder should have Banks/ Financial Institutions as their clients for IS Audit. The bidder must have completed or ongoing similar comprehensive System Audit, in last two financial years, for at least One (01) Public Sector Bank in India. (Documentary proofs must be provided as per format given in Annexure-8 along with copies of Work Order etc.)."	No changes in RFP
3	Page No 9	2.1.2 Scope of External Penetration Testing:	Any new addition/ upgradation in sites, hardware, software, new deliverables, change in architecture during the contract period will also be covered in the scope of this audit without any additional cost. In each audit cycle 5 % new addition/up-gradation of hw/sw may be considered.	1. We understand that new addition in websites, hardware etc. would be covered as a part of periodic audits only and not as a separate (intermediate) audits. 2. Also please confirm if the number of locations to be covered by bidder may also increase or the addition / upgradation is limited to applications /hardware / infrastructure only.	The new addition in websites, hardware etc. would be covered as a part of periodic audits only and not as a separate (intermediate) audits. Also the number of locations to be covered by bidder may also increase or the addition/upgradation is limited to applications /hardware/infrastructure only.
4	Page No 20	2.4 AUDIT DURATION:	The entire IS audit process except (VA & PT) should be completed within Six (6) months from the date of letter of appointment and Post Audit compliance should be completed within next 1 month.	Please clarify what does 'Post Audit compliance' covers?	Post Audit Compliance means status of compliance of observations that was found & submitted by the Auditors based action/compliance done by the bank
5	Page No 22	3.3 EARNEST MONEY DEPOSIT (EMD)	The bidder is required to deposit Earnest Money of Rs. 2,00,000/- (Rupees Two Lacs only) along with their Technical Bid in the form of a Bank Guarantee (as per format furnished in Annexure –4) issued by a Scheduled commercial Bank in India, failing which the bid of the concerned bidder will out-rightly be rejected.	We understand from other sections (e.g. Annexure 15) of the RFP that bidder may submit EMD in form of Demand draft also. Please confirm.	Bidder may submit EMD in form of Demand draft also.
6	Page No 23	3.5 REJECTION OF THE BID	3.5.5 Bidder should comply with all the points mentioned in the Scope of work. Noncompliance of any point will lead to rejection of the bid.	We request the Bank to consider discussing and mutually agree on terms & conditions for this project.	No changes in RFP

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7	Page No 25	3.14 ACCEPTANCE OF TERMS	A Recipient will, by responding to Bank RFP, be deemed to have accepted the terms as stated in the RFP.	We request the Bank to consider discussing and mutually agree on terms & conditions for this project.	No changes in RFP
8	Page No 25	3.19 AUTHORIZED SIGNATORY	The bidder shall furnish proof of signature identification for above purposes as required by the Bank.	Please clarify what supporting would be required for this and when would the same be submitted by bidders? We understand the signature of authorized person can be verified by bank from the submitted proposal.	KYC document to be submitted.
9	Page No 26	3.20 RIGHTS OF UCO BANK	3.20.6 The Bank also reserves the right to get the IS audit done for some of the systems only. In the event of change of quantities, the Total Professional Cost (TPF) would be worked out after normalizing the Commercial Offer to suit to the required systems.	We request to add a cap to this. E.g. the Total Professional Cost (TPF) should not be decreased lesser than 5% of contract value.	No changes in RFP
10	Page No 29	3.34 GENERAL TERMS & CONDITIONS OF BIDDING	3.34.12 In the event of any claim asserted by the third party of infringement of copyright, patent, trademark or industrial design rights arising from the use of the Goods or any part thereof in India, the Bidder shall act expeditiously to extinguish such claims.	We understand that the bidder would not be accountable to those claims arising out of infringement of copyright, patent, trademark or industrial design rights arising from the use of the Goods or any part thereof by Bank or its vendors. Bidder would be responsible only if the infringement of copyright, patent, trademark or industrial design rights has been done by the bidder's staff.	Bidder would be responsible only if the infringement of copyright, patent, trademark or industrial design rights has been done by the bidder's staff.
11	Page No 35	Annexure- A	Proof of documents to be submitted for Eligibility Criteria ar Sr. No. 1 - Copy of the Certificate of Incorporation and Certificate of Commencement of Business (whichever applicable) to be submitted.	Please modify this to as "Copy of the Certificate of Incorporation or Certificate of Commencement of Business (whichever applicable) to be submitted."	Copy of the Certificate of Incorporation or Certificate of Commencement of Business (whichever applicable) to be
12	Page No 35	Annexure- A	Proof of documents to be submitted for Eligibility Criteria ar Sr. No. 2 - Copy of the audited balance sheet of the company showing turnover of the company should be submitted.	We request to modify this to as "Copy of the audited balance sheet of the company or CA certificate showing turnover of the company should be submitted."	No changes in RFP
13	Page No 36	Annexure-B	7. Names of Banker/s	Kindly confirm what is this required for?	biddre has to inform the name of the bidder's banker.
14	Page No 37	SECTION-V ANNEXURE-1 TECHNICAL BID	Note: Documentary proof for all the above mentioned points is mandatory.	Please clarify what supporting would be required for points mentioned from Sr. No.2 to Sr. No. 7 in the table.	Bidders to give the compliance as Yes/No and submit documentary proof of the same.
15	Page No 51	ANNEXURE-12 PREVIOUS AUDIT COMPLIANCE REPORT	N.A.	We understand that this report would be given by successful bidder after submission of audit reports.	This report would be given by successful bidder after submission of audit reports

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16	Page No 56	ANNEXURE-15 CHECKLIST FOR DOCUMENTS TO BE SUBMITTED (FOR TECHNICAL BID)	Sr. No. 6 - Letter of Authorization to Authorized signatory from the competent authority	We understand that certified copy of Board resolution would suffice this requirement. Please confirm.	Certified copy of Board resolution would suffice this requirement