

REQUEST FOR PROPOSAL (RFP) for Selection & Empanelment of INFORMATION SYSTEMS AUDITOR

RFP REF NO. UCO/INSP/ISSA/2018/1.

Date : 23/03/2018

Reply to Pre-Bid Queries

Sl. No.	Clause Ref	Clause as per RFP	Query	Bank's Reply/Clarification
1	1.1 Bid Control Sheet page 7	Bid submission end date 23.04.2018	Please provide atleast 3 weeks time from release of pre-bid query response for submission of final bid.	Bid submission End Date is changed to 30.04.2018 . Accordingly, Technical bid-opening is also changed to 30.04.2018. However, the time and Venue will be same.
2	Various	The clause limits liability to the Contract Value	Liability of Bidder shall in no event exceed the total amount of fees paid to the Bidder under the project. Successful bidder shall not be liable for any indirect / consequential losses.	Liability of the Bidder shall be limited to the total order value for three years for respective empanaled bidder .
3	Eligibility Criteria 1.1.3 page 8	The Bidder must have a turnover of at least Rs. 2 crore in the last three financial years (2014-15, 2015-16, 2016-17).	Request to increase the requirement to minimum INR 100 core in each of the last three financial years	RFP Clause stands as it is in the RFP
4	Eligibility Criteria 1.1.4 page 8	The Bidder must be having on their rolls, on permanent employment basis, a minimum of 10 (ten nos.) professionals who hold professional certifications like CISA/DISA/CISSP/ CISM/ ISO 27001 with requisite experience to handle the work as per the Scope (valid as on date).	Request to update the requirement to ensure quality resources are available with the bidder. Suggested- Bidder should have minimum 500 professionally qualified resources on it rolls. The Bidder should have minimum 50 people on its rolls with certifications like CEH/ CISA/ DISA/ CISSP/ CISM/ISO 27001	RFP Clause stands as it is in the RFP
5	3.18 Indemnity page 37		Request to include the following verbiage to be inserted - "Any indemnity amounts will be limited to the fees paid, subject to final determination by a competent court/arbitrator."	Any indemnity amounts will be limited to the total order value for three years for respective empanaled bidder, subject to final determination by a competent court/ arbitrator

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6	3.23 Order Cancellation (Termination) page 38		<p>The termination clause may be revised to allow rights to the bidder. Suggest following may be updated:</p> <p>'Either party may terminate the contract if (i) a breach by the other goes uncured for 30 days after receipt of a notice from the breaching party, or (ii) continuation causes breach of applicable law or regulation. Upon termination Bidder shall be paid for the work done till date of termination.'</p>	RFP Clause stands as it is in the RFP
7	Page-65 Sl.No 6 and 8	1) It is mentioned that there are 350 to 375 Servers/Devices	(How many approx servers need to be audited, their role and OS details)	All Servers/Devices/OS is required to be audited
8	Page-65 Sl.No 6 and 8	2) Operating System Audit - 350 to 375 Servers/Devices	(Is all the OS needs to audit or we need to take sample OS for OS Audit)	All the OS is required to be audited
9	Nil	Nil	1) As per MSME Act and the Public Procurement Policy for Micro and Small Enterprises, 2012, an MSE Company is entitled to waiver from payment of RFP Cost / Tender Fee. Request you to waive the same.	Certificate from Ministry of MSME/NSIC must be submitted with the bid to claim for exemption from EMD Money and Tender Fees
10	Nil	Nil	As per MSME Act and the Public Procurement Policy for Micro and Small Enterprises, 2012, an MSE Company is entitled to waiver from payment of EMD. Request you to waive the same.	
11	Clause 1.1.8 of Eligibility Criteria states	"To ensure independence, the bidder should not have been a vendor/ Consultant of IT Equipments / peripherals / software / services to UCO Bank in the past 3 years".	We understand that the services include IS Audit also. Hence, companies rendering IS Audit services to UCO Bank in past 3 years is ineligible to participate. Kindly confirm	The services include IS Audit also
12	Eligibility Criteria No.1.1.5	"The bidder must have completed comprehensive System Audit, in last two financial years, for at least One (01) Public Sector Bank in India"	<p>We have carried out comprehensive System audit for more than 4 Banks which are not Public Sector Banks.</p> <p>We also enclose the summary of IS audit works carried out for Banks, Insurance Companies and Government departments for your perusal.</p> <p>We therefore request you to kindly relax the condition in Point No.1.1.5 which reads as "for at least One (01) Public Sector Bank in India" to "for at least One (01) Scheduled Commercial Bank in India.</p>	Point No.1.1.5 Clause stands as it is in the RFP

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13	Eligibility Criteria, 1.1.5	The bidder should have Banks/ Financial Institutions as their clients for IS Audit. The bidder must have completed comprehensive System Audit, in last two financial years, for at least One (01) Public Sector Bank in India. (Documentary proofs must be provided as per format given in Annexure-3 along with copies of Work Order etc.).	We request you to consider one of our credentials for a Public Sector Bank where we have performed a Forensic Audit. As a part of Forensic Audit, an exhaustive CBS review and an IS audit is being carried out. Can this be considered as a credential?	Eligibility Criteria is strictly as per RFP
14	Eligibility Criteria, 1.1.5	The bidder should have Banks/ Financial Institutions as their clients for IS Audit. The bidder must have completed comprehensive System Audit, in last two financial years, for at least One (01) Public Sector Bank in India. (Documentary proofs must be provided as per format given in Annexure-3 along with copies of Work Order etc.).	Can a system Audit of a PSU bank be considered as a credential?	Eligibility Criteria is strictly as per RFP
15	-	-	request to provide the IFSC code of UCO bank as the same would be required by the Guarantor Bank for issuing the Bank Guarantee for Earnest Money Deposit of INR 100000.	IFSC Code of UCO Bank: UCBA0000190