



UCO BANK

Department of Information Technology

Request for Proposal (RFP) For Supply, Installation, Implementation, Post- Implementation Onsite Support of End-to-End Comprehensive & Integrated Global Treasury Management Solution (CIGTMS) (Re-Tendering) (E-Tendering)

RFP Ref. No: UCO/DIT/2241/2022-23 Date: 05/12/2022

Second Pre-Bid Responses / Amendments/ Addendums/ Corrigendum uploaded on 03.01.2023

CLARIFICATIONS:

| Sr. No | RFP Page No | RFP Clause No. | Original RFP Clause | Query sought/Suggestions of the Bidder | Bank's Clarification |
|---------------|--------------------|--|--|---|--|
| 1 | 12 | Part - 1 3. Eligibility Criteria | Copy of purchase order along with Satisfactory Client Certificate /Reference Letter / Sign off from customer mentioning the Module and status of implementation of the project is to be submitted. IN case OEM Experience is shown then Annexure XXXIII needs to be provided duly signed by the OEM which needs to be dully signed and stamped by Both i.e., OEM of treasury solution and the authorized signatory of the Bidder. | In case a reference letter is provided, would the bank also do an independent reference call with the client? | Yes, Bank may verify the references independently at its own discretion. |
| 2 | 328 | Annexure XXXIII | Annexure XXXIII – Undertaking for OEM Implementation | Keeping in mind the complexity of a Integrated Treasury system implementation, request the Bank to specify the tasks and activities to be owned by the OEM. | Please refer to corrigendum |

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|---|--------|---|--|--|---------------------------------|
| 3 | 12, 30 | Part-I, Clause- 3, Eligibility Criteria Srl-4 and Part III (3) Technical Evaluation (2) Bidder's or OEM Capability and Experience | <p>The Bidder should have the experience of implementing the proposed Integrated Treasury solution with all three module (Front, Mid & Back) in last 10 years and providing Post Go-Live Support in any Bank, in India or abroad, which is having presence in India as Scheduled Commercial Bank and the solution is running as on bid submission date. (Max 100 Marks)</p> <p>i. 1 Client Reference (60 Marks) ii. More than 1 Client Reference (100 Marks)</p> <p>OR</p> <p>The OEM of the proposed Treasury Solution should have the experience of implementing the proposed Integrated Treasury solution with all three module (Front, Mid & Back) in last 10 years in any Bank, in India or abroad, which is having presence in India as Scheduled Commercial Bank and the solution is running as on bid submission date. (Max 100 Marks)</p> <p>i. 1 Client Reference (60 Marks) ii. More than 1 Client Reference (100 Marks)</p> <p>N.B. : Bidder / OEM needs to note that only first time installation / Fresh Installation will be considered as reference. Version Upgrade/Subsequent Integrations/any type of upgrade on base version will not be considered. Kindly also note that the same references shall be given under Part-I, Clause-3, Srl-4 of Eligibility Criteria to participate in bidding process.</p> | <p>Version upgrade of treasury solution is practically a new implementation in most cases as it calls for total understanding of treasury solution. In fact, it also needs understanding of old version from which configurations, data etc. have to be migrated.</p> <p>We wanted to provide reference of one of the largest Public Sector Banks in India having branches in Singapore and Hong Kong as well. This bank had business requirement very similar to those of UCO Bank and had implemented global trading solution. bidder has recently implemented latest version of Front to Back Kondor treasury solution. This bank was previously using a very old version. Our latest implementation was practically a new fresh implementation. However, as bank was using old version of Kondor, it was documented as upgrade.</p> <p>In the same connection, it may be highlighted that UCO bank recently upgraded Finacle from version 7.x to version 10.x. That implementation also was practically a new implementation involving business requirements mapping, new architecture and design, new configuration and deployment.</p> <p>We request bank to please delete clause mentioned under N.B. as it restricts the window of bidder participation.</p> | Clause stands as per RFP |
| 4 | | Annex XVII | All the Specifications mentioned in Annexure 9.10 are mandatory to be fulfil by the bidder and there is no scope of customization of any workaround in the Annexure | New annex XVII has naming convention as tab 1-8. clarification in point 5 also mentions 8 tabs in annex XVII. We request to please see if this clause needs a change | Please refer to the corrigendum |

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|---|-----|--|---|---|---|
| 5 | 56 | 2.9 - Multi Entity Risk Management for Mid office functionalities and other functionalities to Market Risk | Some of the multi entity risk management functionalities for treasury Portfolio of Mid Office team, related to Market Risk, are required to run the Mid office smoothly. These functionalities are broadly mentioned in detail under Annexure XVII Tab-6 | <p>Please clarify that requirements mentioned under Credit risk, Market risk, Liquidity risk, Operational risk, Value at risk in this clause are only for treasury portfolio of bank as per detailed requirements given in annex XVII. Proposed treasury solution is not expected to provide these capabilities at enterprise wide.</p> <p>Our request is to please clarify that risk management requirements given under sec 2.9 are only for treasury portfolio, not at enterprise level. Also, it was clarified in last RFP clarification that nostro reconciliation is out of scope</p> | Yes, your understanding is correct regarding Risk management Requirements. NOSTRO reconciliation for the bank-as-a-whole has been kept as out-of-scope but NOSTRO Reconciliation for interbank transactions through proposed Treasury Solution and relevant accounting in CBS along with the existing accounting carrying out under NOSTRO Mirror account is under the scope of work and also as mentioned under Annexure-XVII. |
| 6 | 122 | Clause 5 - Payment Terms (Managed Services) | Clause 5 - Payment Terms | Monthly Invoicing for Facility Management – The fees for Facility Management resources would be payable monthly in arrears at the end of the month, on actuals, as per the Bills submitted by the Bidder and on verification by the bank. This is as per CBS MSA between Bank and bidder | Please refer to corrigendum |
| 7 | 123 | Clause 5 - Payment Terms | Bank shall pay each undisputed invoice raised in accordance with this RFP and subsequent agreement, within thirty (30) working Days after its receipt unless otherwise mutually agreed in writing, provided that such invoice is dated after such amount have become due and payable under this RFP and subsequent agreement. | Bank will process all undisputed invoices after scrutiny the receipt of invoice within 30 (thirty) days from the receipt of invoice at Bank's office. Within 10 (ten) days of receipt of the invoice Bank will inform of the portion of the invoice that is under dispute and the parties will work in good faith to resolve the dispute. This is as per CBS MSA between Bank and bidder | Clause stands as per RFP |

CORRIGENDUM / ADDENDUM / AMENDMENT:

1. Modified Clauses:

| Srl | RFP Page No | RFP Clause No. | Original RFP Clause | Modified Clause |
|-----|-------------|---|--|---|
| 1 | 88 | 2.34 – OEM Scope | Bidder needs to note that this 20% factor needs to be factor by those bidders who are getting qualified with Bidder's credential in Eligibility and Technical evaluation criteria. The bidders who are getting qualified by OEM credentials in Eligibility and Technical evaluation criteria needs to submit Annexure XXXIII and the treasury application OEM needs to implement the solution as per the Annexure XXXIII | Bidder needs to note that this 20% factor needs to be factored by those bidders who are getting qualified with Bidder's credential in Eligibility and Technical evaluation criteria needs to submit Annexure XXXIII and the treasury application OEM needs to implement the solution as per the Annexure XXXIII. The bidders who are getting qualified by OEM credentials in Eligibility and Technical evaluation criteria needs to submit Annexure XXXIII and the treasury application OEM needs to implement the solution as per the Annexure XXXIII. |
| 2 | 122 | Clause – 5. Payment Terms | Managed Services - Quarterly in arrears | Managed Services - Monthly in arrears |
| 3 | 203 | Instructions of filling up of Annexure – XVII | Point – 9: All the Specifications mentioned in Annexure 9.10 are mandatory to be fulfill by the bidder and there is no scope of customization of any workaround in the Annexure. | Point – 9: All the Specifications mentioned in TAB-7 & TAB-8 are mandatory to be fulfilled by the bidder and there is no scope of customization of any workaround in those TABs. |

2. Bank is already having ULA with ORACLE for the below components –

| # | Product Description | License Type/ Term |
|----|--|----------------------|
| 1. | Oracle Database Enterprise Edition | Processor/ Perpetual |
| 2. | Oracle Partitioning | Processor/ Perpetual |
| 3. | Oracle Diagnostics Pack | Processor/ Perpetual |
| 4. | Oracle Tuning Pack | Processor/ Perpetual |
| 5. | Oracle real Application Cluster | Processor/ Perpetual |
| 6. | Oracle Advance Security | Processor/ Perpetual |
| 7. | Oracle Data Masking and Sub-setting Pack | Processor/ Perpetual |
| 8. | Oracle Advance Compression | Processor/ Perpetual |
| 9. | Oracle Active Data Guard | Processor/ Perpetual |

3. Annexures

Annexure XXXIII – Undertaking for OEM Implementation

(This letter should be on the letterhead of the Proposed Treasury OEM duly signed by an authorized signatory of OEM as well as authorized signatory of Bidder along with the proof of authorized signatories)

To,
The Deputy General Manager,
UCO Bank, Head Office – II,
Department of Information Technology,
7th Floor, 3 & 4 DD Block, Sector-I,
Salt Lake, Kolkata -700064

Sir,

Ref: Supply, Installation, Implementation, Post-Implementation Onsite Support of End-to- End Comprehensive & Integrated Global Treasury Management Solution (CIGTMS) (Re- tendering) RFP Ref No. UCO/DIT/2241/2022-23 Date: 05/12/2022

We_____ (Treasury Solution OEM & Address) Confirm that the Bidder_____ (Bidder Name & Address) has back-to-back contract with us for end-to-end implementation of the treasury solution for all the in scope out-of-the-box treasury line item as mentioned under Annexure-XVII.

We also confirm that we will also be part and be directly responsible of the different stages of the Project as mentioned in the RFP clause "OEM Scope" mandatorily and as mentioned in the different RFP clauses or required for implementation of end-to-end proposed Treasury Application which is also not mentioned under RFP document.

The same should also be mentioned & clarified in detail according to the below mentioned format.

| Tick the box whichever is applicable | | Factoring the OEM Implementation effort as 100% | |
|--|------------------|---|------------------|
| | | Factoring the OEM Implementation effort as 20% | |
| # | Activities/Tasks | OEM Role | Role description |
| | | | |

We also confirm that in case bidder is not able to provide L1 & L2 resources of Treasury Application which are OEM certified, then we as OEM of the Solution will provide the same.

Signature of Authorized Official (by both Bidder and OEM)
Name and Designation with Office Seal
Place:
Date:



UCO Bank
Honours Your Trust

NOTICE TO THE BIDDERS

03.01.2023

Sub: Extension of Bid Submission date in respect of “Request for Proposal (RFP) for Supply, Installation, Implementation, Post-Implementation Onsite Support of End-to-End Comprehensive & Integrated Global Treasury Management Solution (CIGTMS) (Re-tendering)(E-Tendering). RFP Ref. No: UCO/DIT/2241/2022-23 Date: 05/12/2022”.

It is hereby informed that according to the last notice dated 29.12.2022, the following line was mentioned hereunder as

“which has been scheduled on **05.01.2022** is hereby extended **up to 10.01.2022 (4:00 PM)**. Technical bids will be opened on **10.01.2022 at 4:30 PM.**”

should be read as

“which has been scheduled on **05.01.2023** is hereby extended **up to 10.01.2023 (4:00 PM)**. Technical bids will be opened on **10.01.2023 at 4:30 PM.**” Accept our sincere apologies in this regard.

The last date for submission of bid documents for “Request for Proposal (RFP) for Supply, Installation, Implementation, Post-Implementation Onsite Support of End-to-End Comprehensive & Integrated Global Treasury Management Solution (CIGTMS) (Re-tendering) (E-Tendering)” which has been scheduled on **10.01.2023** is hereby extended **up to 11.01.2023 (4:00 PM)**. Technical bids will be opened on **11.01.2023 at 4:30 PM.**

Department of Information Technology
Head Office, Kolkata