

यूको बैंक



UCO BANK

सम्मान आपके विश्वास का Honours your trust

**EMPANELMENT OF CHATERERED ACCOUNTANT FIRMS AS STOCK & RECEIVABLE
AUDITORS-AT ZONAL OFFICE LUCKNOW**

Uco Bank Zonal Office Lucknow proposes to empanel Chartered Accountant firms as Stock & Receivable Auditors in Lucknow Zone comprising:

Eligibility Criteria

1. At least one partner of the CA firm should have at least 5 years of practicing experience.
2. The CA firm should have audited minimum 3 Public Limited Companies as Statutory Auditor.
3. The CA firm shall have necessary office set up and adequate personnel to ensure proper deployment and timely completion of the assignments.
4. The CA Firms shall submit relevant documents in support of their eligibility criteria.
5. The CA Firm should have thorough knowledge of the industry concerned and also the type of assets to be valued.

Note:

**Uco Bank reserves the right to empanel any firm(s)/parties/persons at its discretion.
Bank also reserves the right to reject any offer without assigning any reason.**

Eligible and interest Chartered Accountant firms may apply in the format attached through our following office:

Zonal Manager
Uco Bank Zonal Office Lucknow
B1/122, Vineet Khand
Near Jaipuria College
Gomti Nagar
Lucknow 226010
U.P.

The attached format along with the documents self attested by interested and eligible firm should reach us by 15/09/2017 in Hard Copy.

Zonal Manager
Lucknow

UNDERTAKING

I/We (Name) _____ son of Shri _____

Partner of CA firm, M/s. _____

state that the information furnished above is true and correct to the best of my/our knowledge. I/We agree, that in the event that any concealment /distortion of facts furnished above is brought to notice of the Bank subsequent to empanelment/appointment by UCO Bank, UCO Bank would be at liberty to terminate the said empanelment/appointment without notice or damages whatsoever.

(Signature)

To be signed by authorized signatory

Designation:

Membership No.:

(Seal of the firm)

Date:

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**APPLICATION FOR EMPANELMENT OF STOCK AND RECEIVABLE
AUDITORS**

I. Details of Firms	
1.	Name of the Applicant/ CA Firm
2.	Photo Identity of Managing Partner
3.	Number of partners
4.	Address of Registered office of CA Firm (including telephone nos. and email addressetc).
5.	PAN No of the CA firm
6.	Date of Incorporation/ Registration : [Please furnish documents in respect of 1 to6 as Annexure)
<div style="border: 1px solid black; padding: 10px; width: fit-content; margin: 0 auto;"> Please affix recent passport – size photograph </div>	
II. Details of Key Personnel	
1.	Name of Managing Partners : Names of Other Partners (FCA or ACA and their membership no.) : <i>[Please specify if any of the partners is a director or near specified</i>

	<p>relation of any officer of UCO Bank]</p> <p>[Please attach details as Annexure]</p>	
<p>III. Names of Auditors working with the CA Firm :</p>		
1.	Chartered Accountants	
2.	CISA/DISA/CAIIB qualified	
3.	Ex- Bankers	
4.	Article Assistants	
5.	Other Staff Members	
	(PI Indicate all names with all details)	
<p>IV. Branch Network :</p>		
1.	Number of Branches in various states:	
2.	[Please indicate state-wise branches]	
	Number of Branches in each state:	
	[Please attach details of the Branches as Annexure]	
<p>V. Nature of audits carried out and experience of the firm :</p>		
	Balance Sheet size of the	
	companies / units audited by CA firm	
	and of assignments carried out.	
	[Please attach few copies of assignment letter issued by concerned agencies to carry out audit as Annexure]	
<p>VI. Details of Firms' registration :</p>		
<p> </p>		

1.	Registration of the Firm / their associates with ICAI	
2.	CAG Empanelment No.	
3.	RBI Empanelment No.	
4.	Empanelment with any other regulatory authorities	
VII. Audit work undertaken by the CA Firm :		
1.	Details of assignments undertaken for UCO Bank during the last 5 years.	
2.	Details of assignments undertaken for other Banks [PSU / Other Banks] during last 5 years. [Please attach the copies of work assignment awarded by concerned Banks as Annexure]	
VIII.	Any Bank / Agency has black listed your firm at any point of time for any reason earlier. In case "Yes", please furnish the details]	
IX	Any of your employees have been convicted at any point of time before any court and or any complaints against your employees before CBI / any other agency earlier [In case "Yes", please furnish the details]	
X	Additional Information, if any:	
XI	Remarks :	

(Signature)

(To be signed by Managing Partner of CA Firm with Seal)

Date: